<b>AS</b> 1	5. no person	s are required to respond to a Application Number	5. Patent and collection of in	Approved for use through 07/31/2006. OMB 0651-003 Trademark Office; U.S. DEPARTMENT OF COMMERC  Iformation unless it displays a valid OMB control number  15	
TRANSMITTAL		Filing Date	13 July 20	006	
FORM		First Named Inventor	Ning Hu	Ning Hu	
		Art Unit	Unknown		
(to be used for all correspondence after initial filing)		Examiner Name	Unknown		
Total Number of Pages in This Submission	1	Attorney Docket Number	01992.00	1US2	
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	ENC	LUSURES (Check	all that appl	(y) After Allowance Communication to TC	
Fee Transmittal Form		Drawing(s)		Anter Anowarice Communication to 10	
Fee Attached		Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences	
Amendment/Reply		Petition		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)	
		Petition to Convert to a		Proprietary Information	
After Final		Provisional Application Power of Attorney, Revoca			
Affidavits/declaration(s)	11 '	Change of Correspondence	e Address	Status Letter Other Enclosure(s) (please Identify	
Extension of Time Request		Terminal Disclaimer		below):	
Express Abandonment Request		Request for Refund		Form 1449 (1 pg.); Copies of six cited documents.	
✓ Information Disclosure Statement		CD, Number of CD(s)		Return Postcard.	
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Certified Copy of Priority Document(s)	Rema	rks			
Reply to Missing Parts/ Incomplete Application					
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Firm Name VIKSNINS HARRIS & PA	$\overline{}$	OF APPLICANT, ATT	ORNEY, C	OR AGENT	
Signature Vassou	och	12			
Printed name Danny J. Radys		+			
Date October 31, 2006			Reg. No.	35,635	
′	ERTIFIC	CATE OF TRANSMIS	SION/MA	ILING	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Typed or printed name

Date

0/585,915

**PATEN** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

pplicant: Serial No.: Ning Hu et al.

10/585,915 13 July 2006 Examiner:

Docket:

Unknown

Group Art Unit:

Unknown 01992.001US2

Filed: Title:

LIPID-BASED DISPERSIONS USEFUL FOR DRUG THERAPY

## INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In compliance with 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 et. seq., the enclosed materials are brought to the attention of the Examiner for consideration in connection with the aboveidentified patent application. Applicants respectfully request that this Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to MPEP 609, Applicants request that a copy of the Form 1449, initialed as being considered by the Examiner, be returned to the Applicants with the next official communication.

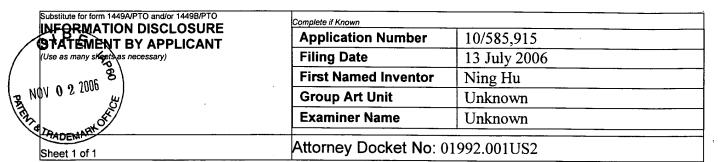
Pursuant to 37 C.F.R. § 1.97, no fee or statement is required with the Information Disclosure Statement. However, the Commissioner is hereby authorized to charge any required fees to Deposit Account No. 503503 in order to have this Information Disclosure Statement considered. The Examiner is invited to contact the Applicants' Representative at the below-listed telephone number if there are any questions regarding this communication.

> Respectfully submitted, Ning Hu et al. By their Representatives, Viksnins Harris & Padys PLLP P.O. Box 111098 Paul, MN 55117

2-876-4093

Reg. No. 3

CERTIFICATE UNDER 37 CFR 1.8: I hereby certify that this correspondence is being electronically or facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment; Commissioner for Patents; P.O. Box 1450, Alexandria, VA 22313-1450, on this day of October, 2006.



US PATENT DOCUMENTS						
Examiner Initials *	US Document Number	Publication Date	Name of Patentee/Applicant of Document			
	5,415,869	May 16, 1995	Straubinger et al.			
	5,556,637	September 17, 1996	Hager et al.			
	5,741,517	April 21, 1998	Hager et al.			

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Foreign Document Number (include country code)	Publication Date	Translation (Abstract Only or Full Translation, if applicable)		
	DE 41 22 661	February 13, 1992	Abstract only		
	WO 91/17751	November 28, 1991			
	WO 95/13053	May 18, 1995			
	EP 0 697 214	February 21, 1996			

	OTHER DOCUMENTS NON PATENT LITERATURE DOCUMENTS		
Examiner Initials*	Include last name of the first author (in CAPITAL letters), "Title of the Article", <u>Title of the Source</u> (book, magazine, journal, serial, symposium, catalog, etc.), <u>Volume-Number</u> , page(s) and (date).		
	International Search Report for International Application Number PCT/US2005/001149, mailed 01/31/2006.		
	Dürr et al., "Investigations on mixed micelle and liposome preparations for parenteral used based on soya phosphatidylcholine", <u>European Journal of Pharmaceutics and Biopharmaceutics</u> , 40(3), 147-156 (1994).		